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RESTRICTED STOCK INFORMATION

BOOKS ON RESTRICTED STOCK

RESALES OF RESTRICTED SECURITIES 2013 ed.

by J. William Hicks

Description

In this handbook, considered the definitive source for information related to Restricted Stock, renowned author William J. Hicks alerts you to judicial, legislative, and administrative developments that have an impact on clients. Use the interpretations and guidance found in the text to help clients avoid legal traps that could trigger financial malpractice suits. Topics covered include the transaction concept and theoretical foundations for the regulation of resales, identifying troublesome resales, public resales—Rule 144, public and private resales—Rule 144(k), private resales—“Section 4(1*)”, private resales—Rule 144A, and extraterritorial resales—Rule 904 of Regulation S.

About the Author

J. William Hicks is a C. Ben Dutton Professor Emeritus of Law at Indiana University School of Law, Bloomington. He is a nationally recognized expert in securities law, and in 1991 was awarded the C. Ben Dutton Chair in Law for his excellence in research and teaching. He is also a past recipient of the Leon Wallace Teaching Award.

Professor Hicks is a frequent speaker at professional conferences throughout the United States. He has also been a visiting professor at universities in China, Ireland, France, and Germany, and was a visiting fellow at Wolfson College in Cambridge, England. Professor Hicks received his law degree from the University of Michigan in 1965.

Titles by this Author

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